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PTO/SB/21 (09-04)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/256,265
Filing Date	February 23, 1999
First Named Inventor	Dah-Bin Kao
Art Unit	2815
Examiner Name	Jose R. Diaz
Attorney Docket Number	0000-0002

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
<input type="checkbox"/> Remarks Request for Certificate of Correction PTO/SB/44 CERTIFICATE OF CORRECTION Copy of recorded assignment Check No. 592 of \$230.00 for \$100.00 per 37 CFR 1.20(a) and \$130.00 per 37 CFR 1.17(i) Return postcard		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm Name		
Signature	<i>Dah-Bin Kao</i>	
Printed name	Dah-Bin Kao	
Date	November 28, 2005	Reg. No. 53092

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	<i>Dah-Bin Kao</i>		
Typed or printed name	Dah-Bin Kao	Date	November 28, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT & TRADEMARK OFFICE  
Kao et al.  
Application No.: 09/256,265  
Page 1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Dah-Bin Kao et al.

Application No.: 09/256,265

Filed: February 23, 1999

For: METHOD AND APPARATUS FOR  
SPLIT GATE SOURCE SIDE  
INJECTION FLASH MEMORY CELL  
AND ARRAY WITH DEDECATED  
ERASE GATES

Examiner: Jose R. Diaz

Art Unit: 2815

Request for Certificate of Correction under  
37 CFR 1.323

Certificate of Correction Branch  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

Dear Officer:

This is to request for a certificate of correction for the assignee's name. The assignment for this application was recorded in reel/frame 012799/0840 on 04/19/2002. An error was made inadvertently by the applicant in form PTOL-85, resulting in the incorrect assignee information on the issued patent. The correct assignee name is stated in the attached Certificate of Correction form PTO/SB/44.

Also attached is a check of US\$230.00 to cover the following fees as specified in MPEP 1481.01:

1. \$100.00 set forth in 37 CFR 1.20(a); and
2. \$130.00 set forth in 37 CFR 1.17(i).

12/02/2005 BABRAHA1 00000083 6876031

01 FC:1811  
02 FC:1814

100.00 0P  
130.00 0P

Kao et al.  
Application No.: 09/256,265  
Page 2

PATENT

Applicant respectfully requests for the prompt issuance of the certificate of correction. If the officer believes a telephone conference would expedite process of this application, please telephone the undersigned at 408-474-1634.

Respectfully submitted,



Dah-Bin Kao  
Reg. No. 53,092  
November 28, 2005

Winbond Electronics Corporation America  
2727 North First Street  
San Jose, California 95134  
Tel: 408-474-1634  
Fax: 408-544-1787

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**Page 1 of 1

PATENT NO. : 6,876,031 B1

APPLICATION NO.: 09/256,265

ISSUE DATE : Apr. 5, 2005

INVENTOR(S) : Dah-Bin Kao; Loc B. Hoang; Albert T. Wu; Tung-Yi Chan

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the cover page, field (73) Assignees should appear as follows:

(73) Assignees: Winbond Electronics Corporation,  
Hsin-Chu (TW)

MAILING ADDRESS OF SENDER (Please do not use customer number below):

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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UNITED STATES  
PATENT AND  
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JUNE 15, 2002



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P.O. BOX 10356  
PALO ALTO, CALIFORNIA 94304

Chief Information Officer  
Washington, DC 20231  
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\*102063062A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/09/2002

REEL/FRAME: 012799/0840  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KAO, DAH-BIN

DOC DATE: 10/18/2001

ASSIGNOR:

WU, ALBERT T.

DOC DATE: 11/16/2001

ASSIGNOR:

HOANG, LOC B.

DOC DATE: 11/12/2001

ASSIGNOR:

CHAN, TUNG-YI

DOC DATE: 11/10/2001

ASSIGNEE:

WINBOND ELECTRONICS CORPORATION  
NO. 4. CREATION ROAD III  
SCIENCE-BASED INDUSTRIAL PARK  
HSIN CHU, TAIWAN R.O.C.

SERIAL NUMBER: 09256265

FILING DATE: 02/23/1999

PATENT NUMBER:

ISSUE DATE:

04-22-200

## Form PTO-1595 (OFFICE OF PUBLIC RECORDS)

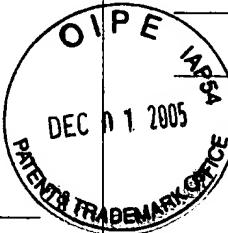


U.S. Department of Commerce

Docket No. 1640531 APP - 9 PM 12: 58 RECORDATION  
PATENTS ONLY

102063062

To the Hon. Commissioner of Patents &amp; Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  Dah-Bin KAO Loc B. HOANG Albert T. WU Tung-Yi CHAN	2. Name and address of receiving party(ies):  Name: <u>Winbond Electronics Corporation</u>  Address: <u>No. 4, Creation Road III</u>  <u>Science-Based Industrial Park</u>
Additional name of conveying party attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <u>4-9-02</u>	
3. Nature of conveyance:  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger  <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name  <input type="checkbox"/> Other: _____	
Execution Date: <u>October 18, November 10, 12 &amp; 16, 2001</u>	
Additional name(s) and address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <u>Hsin Chu, Taiwan, R.O.C.</u>	

4. Application number(s) or patent numbers:  If this document is being filed together with a new application, the execution date of the application is: _____	
A. Patent Application No.(s) <u>09/256,265</u>	B. Patent No.(s)
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name and address party to whom correspondence concerning document should be mailed:  Name: <u>Claude A. S. Hamrick</u>  Firm: <u>Oppenheimer Wolff &amp; Donnelly LLP</u>  Address: <u>P.O. Box 10356</u>  City: <u>Palo Alto</u> State: <u>California</u> ZIP: <u>94304</u>	6. Total number of applications and patents involved: <u>1</u>  7. Total fee (37 CFR 3.41) <u>\$40.00</u>  <input checked="" type="checkbox"/> Enclosed: Check No. 127772  <input checked="" type="checkbox"/> Any discrepancy or overpayment is authorized to be charged to deposit account
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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Tamiz Khan

Name of Person Signing

Signature

March 26, 2002

Date

Total number of pages including cover sheet, attachments, and document: 3

04/19/2002 GTOM11 00000136 09256265

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